

A photograph of a car dealership interior with several vehicles parked in a row. The image is overlaid with a semi-transparent blue filter. The cars are mostly red and white, and their reflections are visible on the polished floor.

# COMPLYAUTO ✓

*smart compliance at your fingertips*

PRIVACY | CYBERSECURITY | ENVIRONMENTAL  
HEALTH & SAFETY | HUMAN RESOURCES  
FINANCE & INSURANCE



# Who We Are



## FOR DEALERS. BY DEALERS.

**#1**

recommended  
compliance  
solution

**43+**

state dealer  
association  
endorsements

**10,000+**

dealership  
partners





## COMPLYAUTO

smart compliance at your fingertips



### PRIVACY

FTC Safeguards and  
Privacy Rights  
Management



### SAFETY

Environmental Health  
& Safety



### GUARDIAN

F&I, Sales, and  
Advertising Compliance



### WORKFORCE

HR Policies & Training



### CRYPT

Secure end-to-end  
encrypted messaging



### EDUTECH

Technical Training



## Smart Full Compliance Guaranteed

### Why join the ComplyAuto Community?

- All your compliance needs in one place - one login, one portal, one plan
- The only solution with all federal and 50-state compliance
- Automated compliance for convenience and reduced costs
- Covers all your compliance needs - no add-ons, hidden fees, or onerous terms
- \$1 million compliance guarantee\* - the only one on the market
- Reduces your insurance premiums and can save you money on cybersecurity insurance
- Puts safety first
- Safeguards your client information and helps staff comply with applicable laws and regulations
- Protects your business, your bottomline, your reputation, and your staff

***“ComplyAuto has accelerated our compliance and helped us stay focused. They respond quickly to any questions we have. I highly recommend working with ComplyAuto!!”***

\*Limitations and exclusions apply, see ComplyAuto Master Terms of Service for details.



It's time to up your consumer **privacy** and **cybersecurity** game with the only solution that provides full state and federal compliance

*"We partnered with ComplyAuto earlier this year. They have executed excellent customer service in all things security and compliance. Their information is always current and timely."*

## The #1 Dealership Privacy & Cybersecurity Platform

Your convenient and efficient tool for 100-percent compliance with state and federal privacy laws and cybersecurity regulations, including the revised **FTC Safeguards Rule** - all automated

- Custom Policy Builders
- Employee Security Awareness Training
- Automated Vendor Contract & Risk Management
- Penetration Testing
- Vulnerability Scans
- Phishing Simulations
- Consumer Privacy & Cookie Consent Management w/ DSAR
- Device & System Inventories

## The one-stop solution for your organization's environmental health and safety compliance needs

With an increase in federal and state regulations, and environmental health and safety at the forefront, it's become more critical now than ever before to make sure you're in compliance. Take the stress out of safety and promote a safer work environment for employees, customers, vendors, and more.

- Automated Custom Policy Builders
- Advanced Safety Risk Assessments
- Employee Safety Online Training w/ Certifications
- American Red Cross CPR & AED Training
- Full Mock OSHA Inspection
- Spill Prevention Control & Countermeasure Plan
- Automates Injury & Illness (300A) Reporting Requirements
- Automates Tier II Environmental Reporting



**Everything you need to reduce **risk** and keep employees **safe** while complying with OSHA, EPA, and DOT regulations.**

**All in a simple cloud-based software.**

*"We are so appreciative of the software, the compliance guarantee and the amazing people on your team who help us. Not sure how you manage to always be there for a personal touch with help when it is needed."*



**A game changer for  
sales, advertising,  
marketing and  
finance and insurance  
compliance, including  
the CARS Rule, UDAP,  
and more.**

*“ComplyAuto has accelerated our compliance and helped us stay focused. They respond quickly to any questions we have. I highly recommend working with ComplyAuto!!”*

## **The first ever AI-powered engine for sales, F&I, and advertising compliance.**

Eliminates dealers' biggest compliance challenges with a full set of sales and F&I compliance features

- Scans ads and points out issues
- Audits deal jackets
- Features a dashboard to review problems
- Takes care of record retention
- Includes an ad builder and a policy builder
- Incorporates training, vendor management, and a forms library
- 2,000 times faster than a compliance auditor (when reviewing 100+ ads)
- Outperforms compliance experts by 15-percent
- Analyzes ads (print or digital) in less than 60 seconds
- 100+-point checks on every ad
- 24/7/365 available for ad compliance



## A centralized hub for all your dealership business operations and **human resources** needs.

**Free** to existing ComplyAuto customers, Workforce addresses all your training and compliance obligations for human resources and business operations in the same convenient, easy-to-use platform. Combine Safety and Workforce and achieve complete compliance for your operations.

- Customizable policy builders
- Employee Training
- Workplace signage module (to build signs)
- 50-state specific workplace training
- Employee Management Tool
- Active shooter protocols
- Workplace violence training
- And much more



A human resources tool to  
create and manage all your  
**HR policies** and **employee**  
**training** to meet legal  
requirements - for federal  
and all 50 states

*“The experience of working with ComplyAuto has been great. They are very knowledgeable and willing to help you in walking you through the process step by step so you fully understand what is going on. I fully and highly recommend you speak with them before anyone else when looking at any compliance issues you may be facing.”*



**A cloud-based  
training program by  
BAR Certified  
Providers to keep  
California dealerships  
safe from violations**

*“The seamless online format of the program allowed our entire team to immerse ourselves in the in-depth training... I enthusiastically recommend it to anyone involved in the automotive repair industry.”*

**The ONLY Bureau of  
Automotive  
Repair-approved  
Training Solution on  
the market**

- Comprehensive online BAR course training and lectures
- Essential BAR courses
- Developed and taught by California attorneys certified by the BAR
- Instruction and Interactive Videos
- Access to training materials anytime (24/7/365)
- Quizzes and final test to track engagement and learning ability
- Notify BAR and receive a certificate upon completion of course
- Access to Resource Center
- Lower Risk of BAR scrutiny
- Increased Customer Satisfaction
- Establishes ARD's good faith efforts and may avoid BAR citation and fine
- Professional Development for Service Writers
- Certification of BAR Compliance for Consumers



# Stop soliciting sensitive customer information via text & email

**Free** to existing ComplyAuto customers, ComplyCrypt is the first encrypted messaging tool that ensures usage and detects violations in real-time.



## STEP 1

Scan QR or enter unique URL to access encrypted messaging portal

## STEP 2

Generate a secure file request link and text or email it to the customer



## STEP 3

Get notified when customers upload files and securely download them



# COMPLYCRYPT



**The ideal end-to-end encrypted messaging tool that ensures usage and detects violations in real-time**

***“I am very happy with the Comply Auto products and their excellent customer service! It feels like they are part of our team by always checking up on us to keep us moving forward!”***

# Bundle & Save

## Total Compliance Pack

our comprehensive bundle of compliance solutions



One Log-in. One Platform. One Solution.



### Privacy

- FTC Safeguards
- Consumer Privacy Rights Management
- Federal Safeguards & Cybersecurity Compliance
- Phishing Email Simulations
- CyberSecurity and F&I Training Suite
- Vendor Management



### Guardian

- Scans ads & VDPs and points out issues
- Audits deal jackets
- Features a dashboard to review problems
- Takes care of record retention
- Includes an ad builder and a policy builder
- Incorporates training, vendor management, and a forms library



### Safety

- SDS Digital Management
- Full Safety Training Suite
- Safety Walkthroughs & Self Assessments
- Equipment Inspection Management
- Automated Injury & Illness Reporting
- Tier II and 300A reporting



Workforce+Crypt - FREE HR & Business Operations and End-to-End Encryption





# Resources

## COMPLIANCE RESOURCE CENTER

Our motto is, 'we're here to help'. We believe we are a resource to dealerships and that's why we've developed a compliance resource center with guides, FAQs, fact sheets, and other free resources that walk you through complex rules and regulations (Safeguards, CARS, UTAP, Reg Z, Reg M, and more).

### Ask the Experts

ComplyAuto offers continuous compliance support for our partners through our online portal. Ask us a compliance question and we'll have a legal expert respond with 24 hours - 24/7/365.



questions & answers - quality compliance



There's a lot of information out there about regulations, laws, compliance, and your responsibilities for consumer privacy, cybersecurity, EHS, F&I, etc. We're dedicated to making sure you have the right information so we have a 'fact or fiction' feature to give you the answers you need.

- Unlimited support from experts
- Monthly educational webinars
- Free compliance support
- Legal expertise
- Monthly compliance-focused newsletter and 'braking news' about rules & regulations that affect your business
- and more...



# Other benefits

## #1

### Recommended Solution

ComplyAuto is the only compliance solution on the market endorsed by more than **43 dealership associations** across the country. We have **50+ five star reviews** on Google and more than **10,000 dealerships** use our platforms to meet their compliance needs.



*“Highly recommend ComplyAuto!”*



## \$1 million Compliance Guarantee

If you're using our platform and you receive a penalty or fine for privacy or cybersecurity issues from a government agency, we'll pay the fine or penalty up to **\$1,000,000\***.

The **ONLY** compliance guarantee in the industry.

## Price Match Guarantee

With our **price match guarantee**, if you find a lower price, we will match that product feature for feature to save you money.



\*Limitations and exclusions apply, see ComplyAuto Master Terms of Service for details.



# compALLIANCE

*“We shopped around to all the regtech providers. We watched demos, took part in trials, watched webinars, and discussed with others in our industry, and ComplyAuto came out on top on every measurement. We were piecing together our compliance and we had to hire people to manage it. With ComplyAuto, we go to one place and everything is automated. We don't have to do anything about laws and regulations, we can focus on growing our business. Highly recommend.”*



*“I am in the car dealership business and Complyauto has been the best partner to help us navigate the compliance side of our business. Their software platform walked me through every step and Jacqueline was there to answer every question. Definitely one of my very top vendor-partners.”*

Note: every quote included is from a real dealership - many from our more than 50 five star Google reviews



**COMPLY**AUTO 

[www.complyauto.com](http://www.complyauto.com)